

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Hiroyuki KODAMA et al.  
Title: COATED CUTTING TOOL AND METHOD FOR PRODUCING THE SAME  
Appl. No.: Unassigned  
Filing Date: AUG 04 2003  
Examiner: Unassigned  
Art Unit: Unassigned

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 CFR §1.56**

Mail Stop PATENT APPLICATION  
Commissioner for Patents  
PO Box 1450  
Alexandria, Virginia 22313-1450

Sir:

Applicants submit herewith on Form PTO/SB/08 a listing of the documents cited by or submitted to the U.S. PTO in parent application Serial No. 09/821,089, filed 03/30/2001. As provided in 37 CFR §1.98(d), copies of the documents are not being provided since they were previously submitted to the United States Patent & Trademark Office in the above-identified parent application.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

**TIMING OF THE DISCLOSURE**

The listed documents are being submitted in compliance with 37 CFR §1.97(b), within three (3) months of the filing date of the application.

**RELEVANCE OF EACH DOCUMENT**

The relevance of Document A17 is described in the present specification

Applicants respectfully request that any listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 CFR §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Respectfully submitted,

Date AUG 04 2003

By 

FOLEY & LARDNER  
Customer Number: 22428



22428

PATENT TRADEMARK OFFICE

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Substitute for form 1449B/PTO <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> Date Submitted: August 4, 2003 <i>(use as many sheets as necessary)</i>				<b>Complete if Known</b>	
				Application Number	Unassigned
				Filing Date	August 4, 2003
				First Named Inventor	Hiroyuki KODAMA
				Group Art Unit	Unassigned
				Examiner Name	Unassigned
Sheet	1	of	2	Attorney Docket Number	016912-0204

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
	A1	6,261,673	B1	REINECK et al.	07-17-2001	
	A2	6,187,421	B1	MORIGUCHI et al.	02-13-2001	
	A3	6,183,846	B1	MORIGUCHI et al.	02-06-2001	
	A4	6,062,776		SANDMAN et al.	05-16-2000	
	A5	5,915,162		UCHINO et al.	06-22-1999	
	A6	5,912,051		OLSSON et al.	06-15-1999	
	A7	5,786,069		LJUNGBERG et al.	07-28-1998	

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Documents	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
	A8	JP	2001-341007		SUMITOMO ELECTRIC INDUSTRIES, LTD.	12-11-2001		
	A9	JP	11-269649		HITACHI TOOL ENG., LTD.	10-05-1999		
	A10	JP	10-147831		TOSHIBA TUNGALOY CO., LTD.	06-02-1998		
	A11	JP	09-225705		HITACHI TOOL ENG., LTD.	09-02-1997		
	A12	JP	10-109206		HITACHI TOOL ENG., LTD.	04-28-1998		
	A13	JP	10-015711		HITACHI TOOL ENG., LTD.	01-20-1998		
	A14	JP	08-071814		SUMITOMO ELECTRIC INDUSTRIES, LTD.	03-19-1996		
	A15	EP	0 443 659	A1	XYCARB B.V.	08-28-1991		
	A16	EP	0 653 499	A1	SUMITOMO ELECTRIC INDUSTRIES, LTD.	05-17-1995		
	A17	JP	7-285001	A	SUMITOMO ELECTRIC INDUSTRIES, LTD.	10-31-1995		

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>6</sup>
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Examiner Signature

Date Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

<sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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Sheet	2	of	2		

NON PATENT LITERATURE DOCUMENTS			
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	A18	RUTH CHATTERJEE-FISCHER et al., "Medium Temperature CVD For Coating Steel Parts", Advanced Materials & Processes, America Society for Metals, February 1986, pages 35-38 and 43, vol. 129-no. 2, Metals Park, Ohio , XP-002141639.	

Examiner Signature		Date Considered	
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